

REMARKS/ARGUMENTS

In the Office Action, the Examiner noted that claims 1-22 are pending in the application. The Examiner additionally stated that claims 1-22 are rejected. By this amendment, claims 1, 9, and 14 have been amended. Hence, claims 1-22 are pending in the application.

Applicant hereby requests further examination and reconsideration of the application, in view of the foregoing amendments.

In the Specification

Applicant has amended the specification to secure a substantial correspondence between the claims amended herein and the remainder of the specification. No new matter is presented.

In the Claims

Rejections Under 35 U.S.C. §103(a)

The Examiner rejected claims 1-22 under 35 U.S.C. 103(a) as being unpatentable over Mittal et al., U.S. Patent No. 5719800 (hereinafter, Mittal), in view of Brock et al., U.S. Patent No. 6,836,849 (hereinafter, Brock), and further in view of Browning, U.S. Patent No. 6,415,388 (hereinafter, Browning). Applicant respectfully traverses the Examiner's rejections.

With reference to claim 1, the Examiner noted that Mittal teaches the apparatus within a microprocessor for managing power consumption of the microprocessor [col. 2 lines 14-19] substantially, including:

- a plurality of functional units each including a corresponding plurality of activity outputs, for indicating when a respective functional unit is enabled [105 and 501, figs. 1 and 5 and col. 5, lines 40-43]
- utilization assessment logic, coupled to said plurality of activity outputs, for assessing activity thereof to determine a current total power consumption value for the microprocessor [col. 5 lines 30-42 and col. 11 lines 54-58];

- power control logic, coupled to said utilization assessment logic, for comparing said current total power consumption value with a threshold power value included in a specified power profile, wherein a select signal directs said power control logic to select said specified power profile from a plurality of profiles that are stored within said power control logic [col. 5 lines 30-42 and col. 11 lines 54-58]. The Examiner parenthetically remarked that although a select signal is not explicitly taught, Mittal teaches engaging one of a plurality of power modes (i.e., power profile) in response to the utilization (i.e., power consumption) being greater or less than a threshold value, and that in order to trigger this response, it is obvious if not inherent that a signal would have to be generated in order to select the appropriate power mode. The Examiner added that in addition, because the mode controller (107/502) initiates the change between a normal and reduced power mode without any explicit teaching of loading the power mode settings (i.e., power profile) from outside the mode controller, it is interpreted that the power profiles selected by the power control logic are selected from profiles stored within the power control logic.
- a power consumption controller, coupled to said power management logic and said plurality of functional units, for engaging one of a plurality of power reduction modes if said current total power consumption value exceeds said threshold power value [abstract and col. 5 lines 25-29]. The Examiner added that because the activity monitor and mode controller compare the power consumption value with a threshold value, selects a power mode in response to the comparison and engages that power mode, it is interpreted that the activity monitor and mode controller comprises the utilization assessment logic, power control logic and power consumption controller as they perform the same functions.

The Examiner stated that although Mittal teaches using a measured temperature to represent the activity level for the purpose of monitoring power consumption, it is unclear as to whether or not the temperature is measured at each functional unit which would then be output as current activity information to their respective activity monitors, or if the temperature is measured at a central location to determine the “overall power.” The

Examiner added that because Mittal is concerned with independent control over each functional unit [fig. 5 and col. 11 lines 22-25 and 34-36], one would believe that the temperature would be measured at each functional unit in order to maintain individual control over “a particular functional unit 501” but suggesting that measuring a substrate temperature to determine “overall power” [col. 5 lines 40-41] seems to suggest otherwise. The Examiner then opined that Brock teaches measuring temperature both globally and at individual processing elements for the purpose of controlling the processing elements individually or as a whole [col. 4 lines 4-14 and col. 7 lines 7-14]. Thus, the Examiner concluded that it would have been obvious to one of ordinary skill in the art at the time of the invention to have each functional unit output its own temperature (i.e., current activity information) to their respective activity monitors, because it would allow the Mittal system to maintain independent control of the functional units while still limiting operation of each functional unit based on availability of power heat generation, etc., as taught by Brock [abstract].

The Examiner also conceded that Although Mittal implicitly teaches a select signal for selecting between power modes, it is not explicitly taught to have a select signal for selecting one of a plurality of power reduction modes to be engaged if the current total power consumption value exceeds said threshold power value, but that Browning teaches selecting a power mode from a plurality of power modes including a plurality of power reduction modes [figs. 6 and 7 and cols. 5-7 lines 64-8]. To summarize, The Examiner stated that Browning teaches having multiple temperature thresholds and initiating a power mode based on the current temperature noting, for example, when the temperature or power consumption of a processor is below threshold T1, the processor enters a first high power/performance state, and when the processor temperature or power consumption is above threshold T1 but below threshold T2, the processor enters a second power/performance state that is lower than the first high power/performance state. Finally, the Examiner stated that if the temperature or power consumption of the processor is above threshold T2, the processor enters a power/performance state that is even lower than the second power/performance state.

Accordingly, the Examiner concluded that it would have been obvious to one of ordinary skill in the art to include the plurality of reduced power states and to generate a selection signal to select one of the reduced power states to be engaged, by power control logic and power consumption controller (i.e., activity monitor and mode controller), because it would obviously introduce varying degrees of performance throttling based on necessity, thus optimizing system performance. In particular, the Examiner opined that supplying just a single lower power mode does not optimize system operation, noting that if running a processor at a maximum rate and the temperature begins to overheat just slightly, an aggressive power reduced mode may not be necessary, and by including a reduced power mode that is not as aggressive, power consumption and temperature can be reduced while still providing substantial performance. The Examiner noted on the other hand if running the same processor at the same maximum rate and the processor begins to experience substantial overheating, the same aggressive power reduced mode would be necessary to rapidly reduce the temperature and power consumption at the expense of performance to prevent imminent damage to the processor circuitry, and by providing varying degrees of performance, the system can maintain optimal performance given its current operating environment.

The Examiner noted that in the previous response Applicant argued in substance that, Mittal, Brock, and Browning teach monitoring temperature rather than power and in doing this it is argued that power consumption may only be inferred rather than being explicitly indicated. Furthermore, the Examiner noted that because temperature measurements are made, Applicant asserted that Mittal and Brock, in particular, are directed towards temperature control rather than power control as claimed.

In response to Applicant's argument, Mittal explicitly teaches a system for primarily reducing power consumption, not temperature control [col. 1 lines 5-10, 21-27 and col. 5 lines 1-4] and even though it is taught that heat is a byproduct of power usage [col. 1 lines 14-16], this should not be misinterpreted to suggest that temperature control is the primary objective of Mittal.

The Examiner also submitted that Mittal further teaches that power consumption can be monitored by measuring temperature [col. 5 lines 40-43]. Although the measured temperature is not measured in Watts as argued by Applicant, the features upon which applicant relies (i.e., a value indicative of power consumption being measured in Watts) are not recited in the rejected claim(s). Yet, the Examiner noted that although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181,26 USPQ2d 1057 (Fed. Cir. 1993). The Examiner stated that, rather, the claims broadly define the value of the activity outputs provide an indication of power consumption and is silent with respect to the unit of measurement relied upon, and that clearly Mittal explicitly describes the temperature measurements as representing power consumption [col. 5 lines 40-43].

The Examiner argued that even if such an interpretation of Applicant's claims were deemed inappropriate and that the scope of the claims does not permit any other forms of measurement other than power measurement in Watts, the fact that Mittal still suggests that it is desired to monitor power consumption [col. 5 lines 40-41] still makes it obvious to modify the Mittal system to measure power consumption rather than temperature because what is a more obvious way of measuring power other than measuring the actual power. The Examiner thus concluded that it would have still been obvious to a person of ordinary skill in the art to try measuring the power consumption by measuring the actual power consumption (rather than by measuring temperature), as a person with ordinary skill has good reason to pursue the known options within his or her technical grasp.

Applicant respectfully disagrees with the Examiner's rejection of claim 1 for the following reasons.

First, Applicant concedes that the aforementioned references all pertain to the field of power management, and they all touch on aspects of the present invention, for it one object of the present invention to provide for power management of a microprocessor. Yet, Applicant's claimed invention, as is substantially recited in claim 1 addresses the manner in which power management is performed and it is respectfully submitted that Applicant's approach provides for a superior technique for measuring and controlling the

power consumed by a microprocessor. Applicant's recited invention of claim 1 employs different elements than those taught or suggested by Mittal, Brock, and Browning, and those elements perform different functions (that is, they measure, report, and accumulate power consumed in Watts), and it is submitted that such elements provide for a result that is superior in many aspects to that taught by the references.

Applicant is addressing, and specifically recites in claim 1, "wherein the value said activity output indicates how much power, in Watts, said respective functional unit is consuming." This limitation is supported in various places in the instant disclosure, including paragraph [0026]. In numerous tables in the instant specification, "how much power" is expressed in its proper unit of measure—Watts. It is respectfully submitted that when one measures temperature, the unit of measure is of the various forms that are used to quantify heat as opposed to power consumption. Mittal measures temperature, and, as the Examiner has noted, Brock's parameters are specified as those used to quantify heat. And although Mittal and Brock admittedly employ temperature as an indication of power consumption, this approach is not what is claimed by Applicant in claim 1.

At a high level, Browning teaches a technique for controlling device temperature as well, but one of his embodiments (Fig. 4) employs a resistor on chip to measure overall device power consumption. Nevertheless, Browning's objective is to control device temperature by controlling device power consumption.

Consequently, none of the noted references are geared toward a fine control of device power consumption. None of the noted references provide an activity output from a function unit whose value indicates the power consumed by the functional unit. And taking the three references in combination, one skilled in the art will be motivated to perhaps employ multiple temperature sensors within a device to detect hot spots, and to employ extant profiles under which the device is to operate, where these profiles may involve *temperature* reduction mechanisms such as frequency stepping, voltage stepping, etc.

Applicant respectfully asserts that, alone or in combination, the cited references are utterly silent with regard to the above-noted limitation.

As noted in the previous response, Mittal does not measure the power consumed by a functional unit, but rather monitors an activity level of the unit and infers power consumption therefrom. For example, Mittal's activity monitor tracks the recent utilization of a particular functional unit within the IC by, for example computing its average duty cycle over its recent operating history. (col. 3, lines 18-22) Mittal proposes profiling the power consumption of sequences of operations in a mix of popular software programs, and choosing from among those sequences the sequence with the highest power consumption. (col. 4, lines 49-57) This is the sort of coarse power estimation technique that the present inventor has noted is disadvantageous and for which the present invention is proposed to overcome. Clearly, Mittal does not teach an activity output whose value indicates how much power, in Watts, a functional unit is consuming.

Regarding Brock, it is submitted that the inventor teaches how to control certain aspects of individual processors in a multiprocessor system (i.e., a rack) to achieve performance goals. More specifically, Brock teaches measuring temperatures, acoustic noise levels, etc. But he does not teach, suggest, or imply an activity output of a functional unit whose value indicates how much power, in Watts, the functional unit is consuming.

As per Browning, Applicant respectfully asserts that the inventor only teaches selecting a power mode from a plurality of power modes including a plurality of power reduction modes, and these are employed as a function of device temperature. However, Browning provides no motivation whatsoever to support indicating the power consumed by a functional unit via the value of an activity signal, and as expressed in Watts, as is provided for in claim 1. Moreover, the combination of Mittal and Browning fails to teach, suggest, or even hint that a functional unit may provide an activity signal that indicates the power, in Watts that it is consuming, thus providing for a finer measurement of overall device power consumption.

In reply to the Examiner's points that measuring the power consumed in Watts is absent from the claims, Applicant notes that such limitations are added by this amendment. In

reply to the Examiner's assertion that even if such an interpretation of Applicant's claims were deemed inappropriate and that the scope of the claims does not permit any other forms of measurement other than power measurement in Watts, the fact that Mittal still suggests that it is desired to monitor power consumption [col. 5 lines 40-41] still makes it obvious to modify the Mittal system to measure power consumption rather than temperature because what is a more obvious way of measuring power other than measuring the actual power, Applicant respectfully submits that in this context if measuring the actual power (Watts) is a more obvious way to measure power consumption, then at least one of the cited references would have alluded to such an approach. Yet, Applicant has searched Mittal, Brock and Browning, and finds that all of the noted references fail to teach measurement of power consumed by a functional unit, or an aggregate of functional units, in Watts. Applicant has searched these references to find a suggestion, hint, or any type of motivation that might urge one skilled in the art to perform power measurement in this way. And Applicant respectfully submits that in the context of power management of a microprocessor, Mittal, Brock, and Browning all fail to even suggest what the Examiner has alluded to as a "more obvious way." Therefore, Applicant respectfully rejects the Examiner's conclusion that it would have still been obvious to a person of ordinary skill in the art to try measuring the power consumption by measuring the actual power consumption (rather than by measuring temperature), as a person with ordinary skill has good reason to pursue the known options within his or her technical grasp, for according to Mittal, Brock, and Browning, such options are either not known, or not within their technical grasp.

Accordingly, and in view of the points asserted above, it is respectfully requested that the rejection of claim 1 be withdrawn.

With respect to claims 2-8, these claims depend from claim 1 and add further limitations that are neither anticipated nor made obvious by Mittal, Brock, Browning, or a combination of the noted references. Accordingly, Applicant respectfully requests that the Examiner withdraw the rejections of claims 2 and 6-8.

The Examiner also rejected claims 9 and 14 of the same basis as was set forth in the rejection of claim 1. Applicant notes that both claims 9 and 14 contain substantially similar limitations as claim 1 directed towards dynamically indicating how much power a respective functional unit is consuming, which have been argued above as being allowable over Mittal, Brock, and Browning. Therefore, it is requested that the rejections of claims 9 and 14 be withdrawn as well.

With respect to claims 10-13, these claims depend from claim 9 and add further limitations that are neither anticipated nor made obvious by Mittal, Brock, Browning, or a combination of the noted references. Accordingly, Applicant respectfully requests that the Examiner withdraw the rejections of claims 10-13.

With respect to claims 15-22, these claims depend from claim 14 and add further limitations that are neither anticipated nor made obvious by Mittal, Brock, Browning, or a combination of the noted references. Accordingly, Applicant respectfully requests that the Examiner withdraw the rejections of claims 15-22.

CONCLUSIONS

Applicant believes this to be a complete response to all of the issues raised in the instant office action and further submits, in view of the amendments and arguments advanced above, that claims 1-22 are in condition for allowance. Reconsideration of the rejections is requested, and allowance of the claims is solicited.

Applicant also notes that any amendments made by way of this response, and the observations contained herein, are made solely for the purpose of expediting the patent application process in a manner consistent with the PTO's Patent business Goals (PBG), 65 Fed. Reg. 54603 (September 8, 2000), and are furthermore made without prejudice to Applicant under this or any other jurisdictions. It is moreover asserted that insofar as any subject matter might otherwise be regarded as having been abandoned or effectively disclaimed by virtue of amendments made herein and/or incorporated in attachments submitted with this response, Applicants wishes to reserve the right and hereby provides notice of intent to restore such subject matter and/or file a continuation application in respect thereof.

Applicant earnestly requests that the Examiner contact the undersigned practitioner by telephone if the Examiner has any questions or suggestions concerning this amendment, the application, or allowance of any claims thereof.

Respectfully submitted,
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